



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S):

Kutter

APPLICATION NO.:

09/921,298

FILING DATE:

August 1, 2001

TITLE:

Methods and Systems for Direct Execution of XML Documents

**EXAMINER:** 

To Be Assigned

**GROUP ART UNIT:** 

To Be Assigned

ATTY. DKT. NO.:

22358-04989

## **CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner For Patents, Washington, D.C. 20231, on the date shown below:

Dated:

9/06/01

By: Lava May

Laura A. Majerus, Reg. No.: 33,417

COMMISSIONER FOR PATENTS WASHINGTON, DC. 20231

## INFORMATION DISCLOSURE STATEMENT Under 37 CFR §§ 1.56 and 1.97-98

SIR:

Pursuant to the provisions of 37 CFR §§ 1.56 and 1.97-98, enclosed herewith is modified form PTO-1449 listing references for consideration by the Examiner. A copy is enclosed herewith of each listed reference which may be material to the examination of this application, and with respect to which there may be a duty to disclose.

The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior
application Serial No. [SERIAL NUMBER], filed on [FILING DATE], and the
references cited therein are hereby referenced, but are not required to be provided
in this application under 37 CFR § 1.98(d).

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The Information Disclosure Statement submitted herewith is being filed: within three months of the filing date of the application, or date of entry 冈 into the national stage of an international application, or before the mailing date of a first official action on the merits, whichever event last occurred; OR before the mailing of a first official action after the filing of a request for  $\Box$ continued examination (RCE) under 37 CFR § 1.114; after three months of the filing date of this national application or the date П of entry of the national stage in an international application, or after the mailing date of the first official action on the merits, whichever event last occurred, but before the mailing date of the first to occur of either: (1) a final action under 37 CFR §1.113; OR a notice of allowance under 37 CFR §1.311; AND (2) attached hereto is the fee of \$180, as set forth under 37 CFR §1.17(p), for submission of this Information Disclosure Statement under 37 CFR.§ 1.97(c); OR Applicant certifies pursuant to 37 CFR § 1.97(e) that: П each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; OR no item of information contained in this Information П Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this certification after making reasonable inquiry, was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement. OR before the payment of the issue fee but after the mailing date of the first to occur of either: [1] a final action under 37 CFR § 1.113; OR a notice of allowance under 37 CFR § 1.311; AND [2] in accordance with the requirements of 37 CFR § 1.97(d): Applicant certifies pursuant to 37 CFR. § 1.97(e) that: 

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		each item of information contained in this Information				
		Disclosure Statement was cited in a communication from a				
		foreign patent office in a counterpart foreign application not				
		more than three months prior to the filing of this Statement;				
		OR				
		no item of information contained in this Information				
		Disclosure Statement was cited in a communication from a				
		foreign patent office in a counterpart foreign application or,				
		to the knowledge of the person signing this certification				
		after making reasonable inquiry, was known to any				
		individual designated under 37 CFR § 1.56(c) more than				
		three months prior to the filing of this Statement; AND				
	Applic	ant hereby respectfully petitions for the consideration of				
the accompanying Information Disclosure Statement und						
	§ 1.97(	(d)(2); AND				
	Applic	ant submits the petition fee of \$180 as set forth in 37 CFR §				
	1.17(p)	).				
☐ Each item of	informat	tion contained in this Information Disclosure Statement was				
cited in a communication fr	om a fore	eign patent office in a counterpart application, and the				
communication was not rec	eived by	any individual designated in 37 CFR § 1.56(c) more than				
thirty days prior to the filing	g of this I	nformation Disclosure Statement. 37 CFR § 1.704(d).				
Applicant su	bmits tha	at no fee is required for the consideration of the				
accompanying Information						
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Consideration of the	listed re	ferences and favorable action are solicited.				
		Respectfully submitted, KUTTER				
		KOTTER				
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Dated: Sept 06,	2001	By: Laura May Laura A. Majerus, Reg. No.: 33,417				
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Signature:	aura 1	Maye	ins				
Attorney/Reg. No.: Laur	ra A. Majerus/33,	417 /	·		Dated:	9/06/01	
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I hereby certify that this correspondence, including the enclosures identified above, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on the date shown below. If the Express Mail Mailing Number is filled in below, then this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 CFR 1.10.							
Signature: Laura Myerus							
Typed or Printed Name:	Laura A. Majert	us 0		<u>,                                      </u>	Dated:	9/06/61	

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		Holland Publishing, Amsterdam, vol. 30, no. 1-7, 1 April 1998, pp. 239-249							

EXAMINER: Initial if references considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

EXAMINER

DATE CONSIDERED